

PATENT

Attorney Docket No. PD26112  
Client/Matter No. 34309.830054.000  
Express Mail No. EL280219036US

PATENT APPLICATION

for an

**APPARATUS AND METHOD FOR PROVIDING VERY LARGE VIRTUAL  
STORAGE VOLUMES USING REDUNDANT ARRAYS OF DISKS**

invented by:

Theodore E. Bruning, III  
Randal S. Marks  
Julia A. Hodges  
Gerald L. Golden  
Ryan J. Johnson  
Bert Martens

Karen E. Workman  
Susan G. Elkington  
Jesse L. Yandell  
Richard F. Lary  
Stephen J. Sicola  
Roger L. Oakey

Prepared on behalf of:  
Compaq Computer Corp.

by:

Patrick McBride  
Registration No. 39,295  
Holland & Hart LLP  
215 South State Street  
Suite 500  
Salt Lake City, Utah 84111-2317  
(801) 595-7836

# APPARATUS AND METHOD FOR PROVIDING VERY LARGE VIRTUAL STORAGE VOLUMES USING REDUNDANT ARRAYS OF DISKS

5

## TECHNICAL FIELD OF THE INVENTION

This invention relates in general to redundant arrays of disks, such as RAID (Redundant Array of Independent Disks) sets. More specifically, the invention relates to an apparatus and method for providing virtual storage volumes, particularly very large virtual storage volumes (e.g., 100 Gigabytes (GB) or more), using redundant arrays of disks, such as RAID sets.

10

## BACKGROUND OF THE INVENTION

Some computer software applications are organized according to what is referred to as a "single volume architecture," meaning that they store data in a single data file that resides on a single volume. This "volume" may be a physical volume, such as a disk drive, or it may be a virtual volume, such as a RAID set. The Exchange® e-mail program provided by Microsoft Corporation of Redmond, Washington is an example of such a single-volume-architecture application.

15

In some cases, the single volume architecture of a particular software application can be problematic, because the size of the data file the application needs to store on a single volume exceeds the capacity of the volume. For example, implementations of Microsoft's Exchange® e-mail program in large organizations having many e-mail users can require a single-volume storage capacity exceeding 100GB, which is greater than many conventional volumes, physical or virtual, can provide. Although it is possible to solve this problem by changing a single-volume-architecture application into a multi-volume-architecture application so that it saves data in multiple files spread across multiple volumes, such efforts can be prohibitively time-consuming and expensive.

20

25

Accordingly, there is a need in the art for a very large virtual storage volume having the storage capacity necessary to meet the needs of a single-volume-architecture software application, such as Microsoft's Exchange® e-mail program. Preferably, such a storage volume should have built-in disaster tolerance capabilities through the use of remote mirroring or other techniques in order to ensure the integrity of its stored data. In addition, such a storage volume should preferably have cloning

30

capabilities so that data backup can occur off-line without interrupting read/write access to the data.

### SUMMARY OF THE INVENTION

5           An inventive apparatus for providing a very large storage volume includes a plurality of disks and a local back-end controller that organizes and presents the disks as redundant arrays of disks (*e.g.*, RAID-5 sets). Also, a local front-end controller stripes the redundant arrays of disks and presents the striped arrays as a very large storage volume.

10           To provide local redundancy, another plurality of disks and an associated back-end controller can be provided, in which case the local front-end controller forms mirror sets from the redundant arrays of disks presented by both back-end controllers. In addition, a further plurality of disks and an associated back-end controller can be provided to enable off-line backup of the data stored on the volume  
15 by cloning the data onto the disks, and then using the disks as the data source for off-line backup. Also, a still further plurality of disks and an associated back-end controller can be provided at a remote location to protect against disasters occurring at the primary location (commonly referred to as "disaster tolerance"). The disks and back-end controllers providing cloning capabilities and disaster tolerance can be  
20 incorporated into the mirror sets formed by the local front-end controller. Further, spare disks can be provided on any or all of the back-end controllers to allow restoration of redundancy after the loss of any particular disk.

          If, for example, the disks each have 9.1GB of storage capacity and the local back-end controller organizes the disks into eleven, six-member RAID-5 sets, then the  
25 very large storage volume has a storage capacity in excess of 500GB, which should be adequate for most single-volume architecture programs. In addition, the redundancy restoration capabilities provided by the spare disks, the parity associated with RAID-5 sets, and the mirroring ensures the integrity of the data stored on the very large storage volume.

30           In another embodiment of this invention, the apparatus described above can be incorporated into an electronic system that also includes a host computer.

          In a further embodiment of this invention, data is stored on a plurality of disks by organizing the disks into a plurality of redundant arrays of disks. The redundant

arrays of disks are striped together to form a virtual volume, and the data is then written to the virtual volume.

In still another embodiment of this invention, data is again stored on a plurality of disks by organizing the disks into a plurality of redundant arrays of disks.

- 5 Mirror sets are formed from the redundant arrays of disks, and these mirror sets are then striped together to form a virtual volume. The data is then written to the virtual volume.

#### BRIEF DESCRIPTION OF THE FIGURES

- 10 Figures 1A and 1B is a diagram illustrating the organization of a very large volume constructed in accordance with this invention; and

Figure 2 is a block diagram illustrating the very large volume of Figures 1A and 1B.

- 15 DETAILED DESCRIPTION OF THE ILLUSTRATED EMBODIMENTS

- As shown in Figures 1A and 1B, a 500.5GB very large volume **10** constructed in accordance with this invention is organized so as to comprise a RAID-0 stripe set having eleven, 45.5GB RAID-1 mirror sets **M1-M11** as members. Of course, it will be understood by those having skill in the technical field of this invention that
- 20 although the invention will be described with respect to a very large volume having a 500.5GB storage capacity, the invention is not limited to any particular storage capacity. In addition, it will be understood that the invention is not limited to the use of any particular redundant array technology (e.g., RAID) and, consequently, is not limited to the use of any particular RAID levels (e.g., RAID-0, RAID-1). Also, it will
- 25 be understood that the invention may include more or less than the eleven mirror sets **M1-M11**, and that the individual mirror sets **M1-M11** may be larger or smaller in size than the 45.5GB described here.

- As used herein, a "RAID-0 stripe set" will be understood to refer to a virtual volume comprised of two or more member disks or volumes across which "stripes" of
- 30 data are stored. Also, as used herein, a "RAID-1 mirror set" will be understood to refer to a virtual volume comprised of two or more member disks or volumes, each of which contains an identical copy of the data stored in the mirror set.

The mirror set **M1**, for example, comprises five, 45.5GB RAID-5 sets **PL1**, **RL1**, **C1**, **PR1**, and **RR1** as members. Similarly, the mirror set **M11** comprises five, 45.5GB RAID-5 sets **PL11**, **RL11**, **C11**, **PR11**, and **RR11** as members. For purposes of clarity, the RAID-5 set members of the mirror sets **M2-M10** are illustrated but not labeled.

Of course, it will be understood that the members of the mirror sets **M1-M11** can be other than RAID-5 sets (*e.g.*, RAID-3 or RAID-4 sets). Also, as used herein, a "RAID-5 set" will be understood to refer to a virtual volume comprised of three or more independently accessible member disks or volumes having redundancy protection through parity information distributed across its members.

The RAID-5 sets **PL1-PL11** comprise the primary local storage copy of the data stored in the very large volume **10**, which means that they are the primary location to which the data is written and from which the data is read. Also, the RAID-5 sets **RL1-RL11** comprise a redundant local storage copy of the data, which provides mirroring-type redundancy for the stored data. In addition, the RAID-5 sets **C1-C11** comprise a cloning storage copy of the data, which is convenient for use in performing off-line data backups without interrupting read/write activities to the very large volume **10**. Disaster tolerance is provided by the RAID-5 sets **PR1-PR11**, which comprise a primary remote storage copy, and the RAID-5 sets **RR1-RR11**, which comprise a redundant remote storage copy. Of course, it should be understood that embodiments of this invention may exclude the redundancy provided by the RAID-5 sets **RL1-RL11**, the cloning capability provided by the RAID-5 sets **C1-C11**, or the disaster tolerance provided by the RAID-5 sets **PR1-PR11** and **RR1-RR11**.

The RAID-5 set **PL1**, for example, comprises six, 9.1GB physical disks distributed across six SCSI busses **bus1-bus6** of a back-end controller (*see* Figure 2). Similarly, the RAID-5 set **PL11** comprises six, 9.1GB physical disks distributed across the six SCSI busses **bus1-bus6**. In addition, six, 9.1GB spare physical disks **16** seamlessly replace any failing disks on any of the busses **bus1-bus6** by rebuilding the data stored on failing disks from parity data, thereby restoring redundancy after a disk failure.

As described herein, the very large volume **10** has a high degree of redundancy. If the **bus3** physical disk **12** fails, for example, it is replaced by the **bus3** spare disk **16** by using parity data to rebuild the data stored on the failing **bus3**

physical disk 12 onto the replacement **bus3** spare disk 16. If **bus3** itself fails, for example, the parity redundancy in the RAID-5 sets **PL1-PL11** regenerates the data stored on the failing **bus3**. If the back-end controller (*see* Figure 2) associated with the RAID-5 sets **PL1-PL11** fails, for example, the redundant local storage copy, comprised of the RAID-5 sets **RL1-RL11**, provides redundancy. Finally, if the front-end controller (*see* Figure 2) associated with the primary and redundant local storage copies and the cloning storage copy fails or is destroyed (*e.g.*, due to a disaster), the primary remote storage copy, comprised of the RAID-5 sets **PR1-PR11**, and the redundant remote storage copy, comprised of the RAID-5 sets **RR1-RR11**, provide redundancy.

As shown in a block diagram in Figure 2, the very large volume 10 is connected to a local host computer 20 that reads data from, and writes data to, the volume 10 via a local front-end controller 22 that acts as a mirroring and striping engine. In other words, the controller 22 forms the mirror sets **M1-M11** (*see* Figures 1A and 1B) and then stripes them so as to present them to the local host computer 20 as the very large volume 10.

The primary local storage copy comprises physical disks 24 (which include disks 12, 14, and 16 of Figures 1A and 1B) connected to a back-end controller 26. The controller 26 acts as a RAID-5 engine by forming the disks 24 into the RAID-5 sets **PL1-PL11** (*see* Figures 1A and 1B) and presenting the sets **PL1-PL11** to the front-end controller 22 as members. Similarly, the redundant local storage, clone, primary remote storage, and redundant remote storage copies comprise physical disks 28, 30, 32, and 34, respectively, connected to back-end controllers 36, 38, 40, and 42, respectively, that act as RAID-5 engines by forming the disks 28, 30, 32, and 34 into the RAID-5 sets **RL1-RL11**, **C1-C11**, **PR1-PR11**, and **RR1-RR11** and presenting these sets to front-end controller 22 as members.

In addition, the very large volume 10 is connected to a remote host computer 44 that reads data from, and writes data to, the volume 10 via a remote front-end controller 46 that acts as a mirroring and striping engine for the primary and redundant remote storage copies. The local and remote host computers 20 and 44 are connected via a network interconnect 48, such as the internet or a dedicated network line.

In an alternative embodiment, the front-end controller **22** can be configured to present a 273GB unit and a 227.5GB unit to the local host computer **20**, rather than the single 500.5GB unit described above. Of course, it should be understood that the front-end controller **22** can, in fact, be configured in a multitude of ways to group the mirror sets **M1-11** into between one and eleven total units, each potentially ranging in size from 45.5GB to 500.5GB. Further, it should be understood that the front-end controller **22** can be configured (typically using software) to partition the striped mirror sets **M1-11** into an infinite number and size of units.

In addition, it should be understood that although this invention has been described with reference to an embodiment having two levels of stacked controllers, the invention is not limited to the two levels described. Rather, the invention includes within its scope any number of levels of stacked controllers.

Although this invention has been described with reference to particular embodiments, the invention is not limited to these described embodiments. Rather, the invention is limited only by the appended claims, which include within their scope all equivalent devices and methods that operate according to the principles of the invention as described.